

UNITED STATES DEPARTMENT OF JUSTICE
WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

Revised October 1976

*Pursuant to Section 2 of the Foreign Agents
Registration Act of 1938, as Amended*

For Six Month Period Ending 5 July 1972
(Insert date)

Name of Registrant

Charles von Loewenfeldt, Inc.

Registration No.

810

Business Address of Registrant

1333 Gough Street, Suite 6D
San Francisco, CA 94109

I - REGISTRANT

1. Has there been a change in the information previously furnished in connection with the following:

(a) If an individual:

N.A.

- (1) Residence address
(2) Citizenship
(3) Occupation

Yes <input type="checkbox"/>	No <input type="checkbox"/>
Yes <input type="checkbox"/>	No <input type="checkbox"/>
Yes <input type="checkbox"/>	No <input type="checkbox"/>

(b) If an organization:

- (1) Name
(2) Ownership or control
(3) Branch offices

Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

2. Explain fully all changes, if any, indicated in Item 1.

N.A.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, and 5.

3. Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position

Date Connection
Ended

4. Have any persons become partners, officers, directors or similar officials during this 6 month reporting period? ☐ Yes ☒ No

If yes, furnish the following information:

Name	Residence Address	Citizenship	Position	Date Assumed
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5. Has any person named in Item 4 rendered services directly in furtherance of the interests of any foreign principal? Yes ☐ No ☐ N.A.

If yes, identify each such person and describe his services.

6. Have any employees or individuals other than officials, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name	Position or connection	Date terminated
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7. During this 6 month reporting period, have any persons been hired as employees or in any other capacity by the registrant who rendered services to the registrant directly in furtherance of the interests of any foreign principal in other than a clerical or secretarial, or in a related or similar capacity? Yes ☒ No ☐

If yes, furnish the following information:

Name	Residence Address	Position or connection	Date connection began
Michael Berger	2525 Gough St. San Francisco	Copywriter/ Researcher	February 1, 1972

II - FOREIGN PRINCIPAL

8. Has your connection with any foreign principal ended during this 6 month reporting period?
Yes ☐ No ☒

If yes, furnish the following information:

Name of foreign principal

Date of Termination

-
9. Have you acquired any new foreign principal¹ during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish following information:

Name and address of foreign principal

Date acquired

-
10. In addition to those named in Items 8 and 9, if any, list the foreign principals¹ whom you continued to represent during the 6 month reporting period.

Japan Air Lines Co., Ltd.

Japan Trade Center (JETRO) at San Francisco

Embassy of Japan (Government of Japan)

Government of Japan: a) through Consulate General of Japan, San Francisco
b) through U.S. - Japan Trade Council (Reg. No. 929)

III - ACTIVITIES

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 8, 9, and 10 of this statement? Yes ☐ No ☐

If yes, identify each such foreign principal and describe in full detail your activities and services:

For activities and services rendered to Japanese Government,
see attached addendum.

¹ The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity² as defined below?

Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Japanese Government

The answer to Item 11 has listed all of the activities of the registrant during the period in question. Some, but not all, of these activities constitute "political propaganda" within the meaning of the Act.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits any or all of your foreign principals?

Yes ☒ No ☐

If yes, describe fully.

During the period in question, Charles von Loewenfeldt personally served as vice president of the California Council for International Trade. The purpose of the CCIT is "to promote the growth of world trade by gathering and disseminating pertinent information; bringing together business leaders of foreign nations with those of California; encouraging firms not yet engaged in foreign trade to enter it; creating a favorable trade climate that will foster development of more industry and new business in California; and working towards elimination of trade barriers." Also, the secretariat for the CCIT was located within the office premises of Charles von Loewenfeldt, Inc. CCIT was, in other words, a separate client of Charles von Loewenfeldt, Inc. distinct and apart from all other CvL, Inc. clients.

In the sense that CCIT espouses and works to encourage "free trade" for the benefit of Californians, its interests parallel, in some instances, those of Japan and of other major nations that trade with the U.S.

² The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

IV - FINANCIAL INFORMATION

14. (a) RECEIPTS - MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes ☒ No ☐

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.³

<i>Date</i>	<i>From Whom</i>	<i>Purpose</i>	<i>Amount</i>
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See attached sheets.

Total

14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value⁴ other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Name of foreign principal</i>	<i>Date received</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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³ A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

⁴ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS - MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 8, 9 and 10 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
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See attached sheets.

Total

15. (b) DISBURSEMENTS - THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value⁵ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in items 8, 9 and 10 of this statement?

Yes ☐ No ☒

If yes, furnish the following information:

<i>Date disposed</i>	<i>Name of person to whom given</i>	<i>On behalf of what foreign principal</i>	<i>Description of thing of value</i>	<i>Purpose</i>
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(c) DISBURSEMENTS - POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value⁵ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office? Yes ☐ No ☒

If yes, furnish the following information:

<i>Date</i>	<i>Amount or thing of value</i>	<i>Name of political organization</i>	<i>Name of candidate</i>
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V - POLITICAL PROPAGANDA

(Section 1(j) of the Act defines "political propaganda" as including any oral, visual, graphic, written, pictorial, or other communication or expression by any person (1) which is reasonably adapted to, or which the person disseminating the same believes will, or which he intends to, prevail upon, indoctrinate, convert induce, or in any other way influence a recipient or any section of the public within the United States with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party or with reference to the foreign policies of the United States or promote in the United States racial, religious, or social dissensions, or (2) which advocates, advises, instigates, or promotes any racial, social, political, or religious disorder, civil riot, or other conflict involving the use of force or violence in any other American republic or the overthrow of any government or political subdivision of any other American republic by any means involving the use of force or violence.)

16. During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any political propaganda as defined above? Yes ☒ No ☐

IF YES, RESPOND TO THE REMAINING ITEMS IN THIS SECTION V.

17. Identify each such foreign principal.

Japanese Government, through the United States-Japan Trade Council

⁵ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating political propaganda?
Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

Japanese Government

Approximately \$23,000 during this six-month period.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of political propaganda include the use of any of the following:

☐ Radio or TV broadcasts ☐ Magazine or newspaper articles ☐ Motion picture films ☐ Letters or telegrams
☐ Advertising campaigns ☒ Press releases ☒ Pamphlets or other publications ☐ Lectures or speeches

☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:

☐ Public Officials ☒ Newspapers ☐ Libraries
☐ Legislators ☒ Editors ☐ Educational institutions
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups

☒ Other (Specify) **Businessmen**

21. What language was used in this political propaganda:

☒ English ☐ Other (specify) _____

22. Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?

Yes ☒ No ☐

23. Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act? Yes ☒ No ☐

24. Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?

Yes ☒ No ☐

VI - EXHIBITS AND ATTACHMENTS

25. EXHIBITS A AND B

- (a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:

Exhibit A⁶ Yes ☐ No ☐

Exhibit B⁷ Yes ☐ No ☐

N.A.

If no, please attach the required exhibit.

- (a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?

Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

⁶ The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

⁷ The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

26. EXHIBIT C

If you have previously filed an Exhibit C⁸, state whether any changes therein have occurred during this 6 month reporting period.

Yes ☐ No ☒

If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

27. SHORT FORM REGISTRATION STATEMENT

Have short form registration statements, been filed by all of the persons named in Items 5 and 7 of the supplemental statement?

Yes ☒ No ☐

If no, list names of persons who have not filed the required statement.

The undersigned swear(s) or affirm(s) that he has (they have) read the information set forth in this registration statement and the attached exhibits and that he is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in attached Short Form Registration Statement, if any, insofar as such information is not within his (their) his (their) personal knowledge.

(Type or print name under each signature)

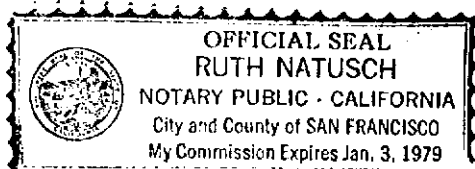
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer oaths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)

Charles von Loewenfeldt
Charles von Loewenfeldt
President

Susan Brossy Grosier
Susan Brossy Grosier
Executive Vice President

Subscribed and sworn to before me at San Francisco

this 18th day of November, 19 76



Ruth Natusch

(Signature of notary or other officer)

⁸ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

ADDENDUM

Answers to Questions 11 and 12

For the Japanese Government through the United States-Japan Trade Council

1. Publications

(See preface to answer to Question 11, (Publications) in Supplemental Statement for period ending July 5, 1971.)

Publications Distributed

United States-Japan: A Comparison of Trade and Economic Data, 1970

The third in a series of annual publications comparing the economies of the two countries.

The Japanese Economy: Present Realities and Future Directions

This is a summary prepared by the Council of the highlights of the annual Economic White Paper on the Japanese economy.

United States Exports to Japan by Customs District of Shipment, 1971

The Council's annual statistical analysis of U.S. exports to Japan by SITC sections, divisions and groups.

United States Imports from Japan by Customs District of Entry, 1971

A similar analysis of imports.

Could Foreign Competition Take My Job?

A pamphlet published by the U.S. Chamber of Commerce.

2. Conferences

See preface to answer to Question 11, (Conferences) in Supplemental Statement for period ending July 5, 1971.

On March 7 Council sponsored a symposium in New Orleans on "The Dynamics of the U.S.-Japan Relationship." It dealt with the potential for expanding U.S. exports to Japan. The nominal sponsor was the Chamber of Commerce of New Orleans, stated as acting in cooperation with the Board of Commissioners of New Orleans, Dillard University, Foreign Relations Association of New Orleans, International House, International Trade Mart, Japan-Louisiana Association, Japan Society, Regional Export Expansion Council, Louisiana State University, Loyola University, Mississippi Valley World Trade Council, New Orleans Board of Trade, New Orleans Clearing House Association, Southern University, Tulane University, U.S. Dept. of Commerce, U.S.-Japan Trade Council, Xavier University. Speakers included the Japanese Ambassador and a Council official, who were

afforded a forum to present the Japanese viewpoint on various issues. Congressman Hale Boggs was also a speaker.

3. Films

(See preface to answer to Question 11, (Films) in Supplemental Statement for period ending July 5, 1971.)

Registrant distributed the following film clips to TV stations. This distribution was on a broad basis covering two national networks serving more than 220 stations in 50 states plus an additional 33 independent stations.

Woman Symphony Conductor
Flower Arranging
White Collar Worker
Shipyard Worker
U.S. Products in Japan

Japan's Self Defense Forces
Faces of Tokyo
Pollution
Kawabata

Ed Radenzel, San Francisco newspaper man, spent one month in Japan under contract to registrant to produce and direct film clips.

4. Advice to Japanese Government

(See preface to answer to Question 11, (Advice to Japanese Government) in Supplemental Statement for period ending July 5, 1971.)

On April 3 registrant submitted a proposed program of public relations activities for fiscal 1972-73 and a revised schedule of activities involving Ambassador Ushiba.

On April 18, May 10 and May 11 submitted memoranda on progress of current film clip project and proposals for a new film clip series.

On May 19 submitted a memo on women's groups to be invited to Japan.

On June 27 submitted a lengthy memo outlining a series of proposals for future public relations activities of a cultural nature.

Submitted a list of suggested groups of Americans that might be invited to visit Japan during the next year.

Submitted a series of proposals for future public relations activities, including seminars in the U.S. and Japan, use of American radio and television and public relations media.

Submitted a proposal for seminars for the U.S. electronics industry.

Submitted a series of memoranda relating to proposed seminars to be held with the participation of leading U.S. and Japanese scholars and political figures.

5. Travel to Japan

(See preface to answer to Question 11, (Travel to Japan) in Supplemental Statement for the period ending July 5, 1971.)

Council participated in a Japanese Government program to invite Congressional staff members and members of the news media to Japan. Council's role in the program was confined to suggesting individuals for consideration, or commenting on individuals under consideration by the Japanese Government.

The following Congressional staff members went to Japan for two weeks during this period, with expenses paid by the Japanese Government. They were among those suggested to the Japanese Government by the Council.

John Karlik, Senior Economist, Joint Economic Committee
Robert Best, Staff Director, Subcommittee on Trade, Senate Finance Committee
Kenneth Gunther, Executive Assistant to Senator Jacob Javits
Morris Amitay, Legislative Assistant to Senator Abraham Ribicoff
Walter Evans, Executive Assistant to Senator Mark Hatfield

Airline reservations and related arrangements were made by registrant on behalf of the above-named individuals, plus:

Daniel Minchew, Administrative Assistant to Senator Herman Talmadge
Thomas Vail, Chief Counsel, Senate Finance Committee
James Woolsey, General Counsel, Senate Armed Services Committee

who were also invited by the Japanese Government, although not at the Council's suggestion. The cost of these trips was approximately \$2500 each.

Similar arrangements were made by registrant for a fact-finding trip to Japan which officers of the League of Women Voters was making at the invitation of the Foreign Ministry of Japan.

For the Japanese Government through Consulate General of Japan, San Francisco

1. Community relations

Coordinated logistical planning of a 3-day seminar on Communications between Americans and Japanese held in San Francisco.

2. Research (conducted at the request of the Consulate General)

- a. Domestic International Sales Corporations (DISC)
- b. Federal Waivers for Certain State Programs
- c. Request for Information Exchange from the California State Director of Legislative Research
- d. "Buy American" activities of American Suit & Cloak Mfgs.
- e. California Congressmen and ratings by Labor
- f. Robert Gomperts' (local businessman) observations on U.S.-China trade, after a month's tour of China
- g. Position paper of the Electronic Industry Committee for Fair Trade
- h. Granting MFN treatment to China
- i. Information on HR 7130, its trade restriction aspects
- j. U.S. Chamber of Commerce survey on multinational corporations
- k. Response of American Cyanamid Company to Foreign Trade & Investment Act of 1972
- l. Emergency Committee for American Trade study of the role of multinational corporations in world economy
- m. Indo-Japanese economic relations
- n. Outlook for U.S.-China trade
- o. Visit of Ambassador Ushiba to Salt Lake City
- p. Transportation strike legislation
- q. California state proposition # 9
- r. San Francisco Redevelopment Agency
- s. California Pollution Control Standards for new vehicles
- t. Concept of an international trade charter proposed by STR William D. Eberle
- u. Japan Society request for a grant to produce a one-hour film submitted to the Expo '70 Commemorative Fund
- v. Report of PR projects proposed to the Japanese Embassy
- w. Ideas on the economy as expressed by Senator McGovern and Congressman Schmitz

3. Assistance with speeches and letters in English

- a. Draft of a letter to editor of San Francisco Examiner responding to an article entitled "Nations Are Using Tricky Trade Tactics."

- b. Remarks at testimonial dinner honoring Oakland Councilman Frank Ogawa
- c. Comments on U.S.-Japan economic relations made before San Francisco businessmen's study group
- d. Greetings to Sixth North American Regional Conference of Ikebana International
- e. Comment on U.S.-Japan relationship for annual meeting of Japan Society of San Francisco
- f. Remarks upon creation of new Japanese/American baseball team, the "Lodi Orions."
- g. Letter to president of Bank of America re current U.S.-Japan relations

4. Staff conferences

During this six-month period about six discussion meetings were held with staff of the Consulate General, covering conduct of relations with various segments of the community and participation in local events.

For the Japanese Government through the Embassy of Japan

1. Goodwill Visits

Registrant made advance arrangements for a goodwill visit by Ambassador N. Ushiba to Utah, and personally accompanied the Ambassador to Salt Lake City June 14-15.

Charles Von Loewenfeldt, Incorporated

CATHEDRAL HILL WEST • 1333 GOUGH STREET
SAN FRANCISCO, CALIFORNIA 94109 • (415) 922-5600

PUBLIC RELATIONS

July 5, 1972

14 (a) Receipts - Monies

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u>	<u>Total</u>
1/26/72	Government of Japan through Japan Trade Promotion Office & U.S.-Japan Trade Council	\$ 890.03	\$ 890.03
1/30/72	" " "	500.00 20.00	520.00
2/1/72	" " "	461.38	461.38
2/24/72	" " "	1,573.32	1,573.32
2/28/72	" " "	500.00 20.00	520.00
3/1/72	" " "	1,493.64	1,493.64
3/3/72	" " "	12,500.00	12,500.00
3/20/72	" " "	15,895.66	15,895.66
3/28/72	" " "	500.00 20.00	520.00
3/24/72	" " "	1,194.68	1,194.68
3/30/72	" " "	757.56	757.56
4/17/72	" " "	792.87	792.87
4/18/72	" " "	555.50	555.50
5/4/72	" " "	300.72	300.72
5/24/72	" " "	796.03	796.03
6/9/72	" " "	3,048.30	3,048.30
6/15/72	" " "	577.73	577.73
		<u>\$1,500.00</u>	<u>\$ 40,897.42</u>
			<u>\$ 42,397.42</u>

15 (a) Disbursements - Monies

Monies expended in connection with activity on behalf of
the United States-Japan Trade Council are as follows:

Press clippings	\$ 100.00
Contract Labor	2,086.97
Messenger service	24.62
Equipment rental	37.50
Travel & Entertainment	11,917.84
Postage and mailing	1,133.60
Telephone & Telegraph	1,301.19
Printing (invitations & symposium programs)	2,927.14
Professional Photographic services	21,000.00
Shipping	353.70
Supplies	14.86
<u>Total:</u>	<u>\$ 40,897.42</u>

July 5, 1972

14 (a) Receipts - Monies (Continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u> <u>Fees</u>	<u>Total</u>
2/1/72	Embassy of Japan	\$10,000.	
3/1/72	" " "	10,000.	
4/1/72	" " "	10,000.	
5/1/72	" " "	10,000.	
6/1/72	" " "	10,000.	
7/1/72	" " "	10,000.	
		<u>\$60,000.</u>	
		\$60,000.	\$60,000.

15 (a) Disbursements - Monies

No monies disbursed on behalf of the Embassy of Japan.

July 5, 1972

14 (a) Receipts - Monies (Continued)

<u>Date</u>	<u>From Whom</u>	<u>Purpose & Amount</u> <u>Fees</u>	<u>Total</u>
1/31/72	Consulate General of Japan at San Francisco	\$1,100.00	
2/28/72	" "	1,100.00	
3/31/72	" "	1,100.00	
4/30/72	" "	1,100.00	
5/31/72	" "	1,100.00	
6/30/72	" "	<u>1,100.00</u>	
		\$6,600.00	<u>\$6,600.00</u>

15 (a) Disbursements - Monies

No monies disbursed in behalf of the Consul General of Japan at San Francisco.